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Journal of Geometry and Physics 44 (2003) 475–480

JOURNAL OF  
GEOMETRY AND  
PHYSICS

www.elsevier.com/locate/jgp

# A negative answer to a Rieffel's question on the behavior of $K$ -groups under strict deformation quantization

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Received 24 October 2001; received in revised form 14 January 2002

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## Abstract

In this paper, we address one of the questions raised by Rieffel in his collection of questions on deformation quantization. The question is whether the  $K$ -theory groups remain the same under flabby strict deformation quantizations. By “deforming” the question slightly, we produce a negative answer to the question.

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*MSC:* Primary 53D55; 81S

*Keywords:* Flabby deformation quantization;  $K$ -theory; Non-commutative tori

*Subj. Class:* Quantum mechanics

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In his collection of questions on deformation quantization [10], Rieffel asked the following: “Are the  $K$ -groups of the  $C^*$ -algebra completions of the algebras of any flabby strict deformation quantization all isomorphic?” Up to my knowledge, the question is still open. But this paper will show that the answer is negative if we ask the same question for the case of orbifolds.

**Definition 1** (Rieffel [10]). Let  $(M, \{\cdot, \cdot\})$  be a Poisson manifold. A strict deformation quantization of  $M$  in the direction of  $\{\cdot, \cdot\}$  is a dense  $*$ -algebra  $A$  of  $C^\infty(M)$  which is closed under the Poisson bracket, together with a closed subset  $I$  of the real line containing 0 as a non-isolated point, and for each  $\hbar \in I$  an associative product  $*_{\hbar}$ , an involution  $*_{\hbar}$ , and a pre- $C^*$ -norm  $\|\cdot\|_{\hbar}$  on  $A$ , which for  $\hbar = 0$  are the original pointwise multiplication, complex

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PII: S0393-0440(02)00033-5

conjugation, and supremum norm, respectively, and such that

- (1)  $\{\overline{A_{\hbar}}\}_{\hbar \in I}$  forms a continuous fields of  $C^*$ -algebras over  $I$ , where  $\overline{A_{\hbar}}$  is the  $C^*$ -completion of  $A_{\hbar} = (A, \|\cdot\|_{\hbar})$ ,
- (2) for  $f, g \in A$

$$\left\| \frac{f *_{\hbar} g - g *_{\hbar} f}{\sqrt{-1}\hbar} - \{f, g\} \right\|_{\hbar} \rightarrow 0 \text{ as } \hbar \rightarrow 0.$$

This definition still makes sense for a simple Poisson orbifold  $M/\Gamma$ , where  $\Gamma$  is a finite group acting on  $M$  and the action preserves the Poisson bracket. A function on  $M/\Gamma$  is just a function on  $M$  which is constant on each orbit. A function  $f$  on  $M/\Gamma$  is defined to be smooth if it is smooth as a function on  $M$ .

**Definition 2.** A strict deformation quantization is *flabby* if  $A$  as above, contains  $C_c^\infty(M)$ , the algebra of smooth functions of compact support on  $M$ . This notion also makes sense for  $M/\Gamma$  as above.

There is more algebraic version of deformation quantization, called the *formal deformation quantization*. A formal deformation quantization of  $M$  is defined as an associative algebra structure  $*$  on  $C^*(M)[[\hbar]]$  ( $\hbar$  is a formal letter) such that, for  $f, g \in C^*(M)$

$$f * g = fg + \frac{\sqrt{-1}}{2}\{f, g\}\hbar + B_2(f, g)\hbar^2 + B_3(f, g)\hbar^3 + \dots,$$

where  $B_i$ 's are bidifferential operators. Using ideas from string theory, Kontsevich [6] proved that any Poisson manifold is formally deformation quantizable.

Now, we consider the example of a strict deformation quantization of tori [8]. We use real coordinates  $(x_1, \dots, x_n)$  for the  $n$ -torus  $T^n$ , viewing  $T^n$  as  $\mathbb{R}^n/\mathbb{Z}^n$ . Any real skew-symmetric matrix  $\Theta$  defines a Poisson bracket on  $C^\infty(T^n)$

$$\{f, g\} := \sum_{j,k} \theta_{jk} \frac{\partial f}{\partial x_j} \frac{\partial g}{\partial x_k} \text{ for } f, g \in C^\infty(T^n).$$

The Fourier transform  $\mathcal{F}$  maps  $C^\infty(T^n)$  to  $S(\mathbb{Z}^n)$ , the space of complex-valued Schwartz functions. Recall that  $\mathcal{F}(f) \in S(\mathbb{Z}^n)$ ,  $f \in C^\infty(T^n)$ , is defined as follows, for  $p \in \mathbb{Z}^n$

$$\hat{f}(p) := \mathcal{F}(f)(p) = \int_{T^n} \exp(2\pi\sqrt{-1}x \cdot p) f(x) dx,$$

where  $dx$  is the Haar measure with  $\int_{T^n} 1 dx = 1$ .  $\mathcal{F}$  is invertible, and its inverse is given by

$$\phi \rightarrow \sum_{p \in \mathbb{Z}^n} \phi(p) \exp(2\pi\sqrt{-1}p \cdot x).$$

$\mathcal{F}$  carries the Poisson bracket to

$$\{\phi, \psi\}(p) = -4\pi^2 \sum_q \phi(q)\psi(p - q)\gamma(q, p - q)$$

for  $\phi, \psi \in S(\mathbb{Z}^n)$ , where

$$\gamma(p, q) = \sum_{j,k} \theta_{jk} p_j q_k.$$

For any  $\hbar \in \mathbb{R}$ , we define a function  $\sigma_{\hbar}$  on  $\mathbb{Z}^n \times \mathbb{Z}^n$  by

$$\sigma_{\hbar}(p, q) = \exp(-\pi \sqrt{-1} \hbar \gamma(p, q)),$$

and then define a deformed convolution product

$$(\phi *_{\hbar} \psi)(p) = \sum_q \phi(q) \psi(p - q) \sigma_{\hbar}(q, p - q).$$

The involution on  $S(\mathbb{Z}^n)$  is defined, independent of  $\hbar$ , as follow:

$$\phi^*(p) = \bar{\phi}(-p)$$

which, under the inverse of the Fourier transform, is just the complex conjugation on  $C^\infty(T^n)$ .

Define a norm  $\| \cdot \|_{\hbar}$  on  $S(\mathbb{Z}^n)$  as the operator norm for the action of  $S(\mathbb{Z}^n)$  on  $l^2(\mathbb{Z}^n)$  given by  $\phi \cdot \xi = \phi * \hbar \xi$ . We define  $C_{\hbar}$  to be  $C^\infty(T^n)$  with the product, the involution, and the norm obtained by pulling back, via the Fourier transform, the product  $*_{\hbar}$ , the involution, and norm  $\| \cdot \|_{\hbar}$  we defined above. Then  $\{C_{\hbar}\}_{\hbar \in \mathbb{R}}$  is a strict deformation quantization of the Poisson manifold  $(T^n, \Theta)$ .

$A_{\Theta}$  is defined as  $C_1$ , the algebra for  $\hbar = 1$ . Then, by definition,  $C_{\hbar} = A_{\hbar \Theta}$ . An easy computation shows that

$$U_k U_j = \exp(2\pi i \theta_{jk}) U_j U_k,$$

where  $U_i = \exp(2\pi \sqrt{-1} x_i)$ . The enveloping  $C^*$ -algebra  $\bar{A}_{\Theta}$  of  $A_{\Theta}$  is the universal  $C^*$ -algebra generated by  $n$  unitary operators satisfying the above relations.  $\bar{A}_{\Theta}$  is called *the non-commutative torus*. The non-commutative tori appear naturally in  $M$ -theory compactification [3].

We define a  $\mathbb{Z}_2$ -action on  $T^n$  by

$$\gamma \cdot (x_1, \dots, x_n) = (-x_1, \dots, -x_n),$$

where  $\gamma$  is the non-identity element of  $\mathbb{Z}_2$ . (From now on,  $\gamma$  will denote the non-identity element of  $\mathbb{Z}_2$ .) This  $\mathbb{Z}_2$ -action on  $(T^n, \Theta)$  preserves the Poisson bracket, i.e.,

$$\{f^{\gamma}, g^{\gamma}\} = \{f, g\}^{\gamma},$$

where  $f^{\gamma}$  is defined as  $f^{\gamma}(x) = f(-x)$ . Also, the strict deformation quantization of  $(T^n - \Theta)$  defined as above is invariant under the  $\mathbb{Z}_2$ -action, i.e.,

$$f^{\gamma} *_{\hbar} g^{\gamma} = (f *_{\hbar} g)^{\gamma}.$$

Hence the strict deformation quantization of  $(T^n, \Theta)$  restricts to a strict deformation quantization of the Poisson orbifold  $T^n/\mathbb{Z}_2$ , which is flabby. A smooth function  $f$  on  $T^n/\mathbb{Z}_2$

is just an smooth even function on  $T^n$ , i.e.,  $f(-x) = f(x)$ . This strict deformation quantization is given by  $\{A_{\hbar\Theta}^\sigma\}_{\hbar \in \mathbb{R}}$ .  $A_\Theta^\sigma$  denotes the subalgebra of  $A_\Theta$  which consists of even functions in  $A_\Theta$ . Its closure  $\bar{A}_\Theta^\sigma$  in  $\bar{A}_\Theta$  consists of even functions in  $\bar{A}_\Theta$ .  $\bar{A}_\Theta^\sigma$  is called the symmetrized non-commutative torus.

We will simply write  $U_p$  for  $\exp(2\pi\sqrt{-1}p \cdot x)$ . Note that  $(U_p)^\gamma = U_{-p} = (U_p)^*$ . The difference between the action by  $\gamma$  and the  $*$ -operation is that the former is linear but the latter is conjugate-linear. Then the dense subalgebra  $A_\Theta^\sigma$  of  $\bar{A}_\Theta^\sigma$  consists of linear combinations of  $\{U_p + U_{-p} | p \in \mathbb{Z}^n\}$ .

**Theorem 1.** *Assume that there exists an entry  $\theta_{jk}$  of  $\Theta$  such that  $4\theta_{jk}$  is not an integer. Then the symmetrized non-commutative torus  $\bar{A}_\Theta^\sigma$  is Morita-equivalent to  $\bar{A}_\Theta \rtimes \mathbb{Z}_2$ .*

**Proof.** (For the notion of Morita-equivalence, see [7]). We let  $C$  and  $D$  denote the algebra  $C(\mathbb{Z}_2, A_\Theta)$  and the dense subalgebra  $A_\Theta^\sigma$  of  $\bar{A}_\Theta^\sigma$ , respectively, where  $C(\mathbb{Z}_2, A_\Theta)$  is the set of maps from  $\mathbb{Z}_2$  to  $A_\Theta$ . Recall that the product on  $C(\mathbb{Z}_2, A_\Theta)$  is given as follows:

$$(\Lambda\Psi)(e) = \Lambda(e)\Psi(e) + \Lambda(\gamma)\Psi(\gamma)^\gamma, \quad (\Lambda\Psi)(\gamma) = \Lambda(e)\Psi(\gamma) + \Lambda(\gamma)\Psi(e)^\gamma,$$

where  $e$  is the additive identity of  $\mathbb{Z}_2$ . For a  $C$ - $D$  bimodule, we take  $\mathcal{E} = A_\Theta$ . The right  $D$ -module structure on  $\mathcal{E}$  is given by right multiplications. A  $D$ -valued inner product on  $\mathcal{E}$  is defined by

$$\langle U, V \rangle_D = U^*V + (U^*)^\gamma V^\gamma.$$

The left  $C$ -module structure on  $\mathcal{E}$  is given as follows: for  $\Psi \in C, U \in \mathcal{E}$ ,

$$\Psi \cdot U = \Psi(e)U + \Psi(\gamma)U^\gamma.$$

We define a  $C$ -valued inner product

$$\langle U, V \rangle_C(e) = UV^*, \quad \langle U, V \rangle_C(\gamma) = U(V^*)^\gamma,$$

where  $e$  is the identity element of  $\mathbb{Z}_2$ . Easily, we have

$$\langle U, V \rangle_C \cdot W = U \cdot \langle V, W \rangle_D,$$

which is one of the requirements in the definition of Morita-equivalence.

We proceed to prove that the linear span  $\langle \mathcal{E}, \mathcal{E} \rangle_C$  of  $\{\langle x, y \rangle_C | x, y \in \mathcal{E}\}$  is all of  $C$ . Since  $\langle \mathcal{E}, \mathcal{E} \rangle_C$  is not just a vector space but an ideal of  $C$ , we only need to show that the identity element  $\Phi_0$  of  $C$  lies in  $\langle \mathcal{E}, \mathcal{E} \rangle_C$ , where the identity element  $\Phi_0$  is given by  $\Phi_0(e) = 1$  and  $\Phi_0(\gamma) = 0$ . By the assumption, we have an entry  $\theta_{jk}$  such that  $4\theta_{jk}$  is not an integer. We define an element  $\Lambda \in C$  by  $\Lambda(e) = U_j^{-2}, \Lambda(\gamma) = -U_j^{-2}U_k^2U_j^2$ . Then we have

$$\Lambda(\langle U_j, U_j^{-1} \rangle_C - \langle U_k, U_k^{-1} \rangle_C + \langle U_k^2, 1 \rangle_C) = (1 - e^{8\pi\sqrt{-1}\theta_{jk}})\Phi_0.$$

Since  $1 - \exp(8\pi\sqrt{-1}\theta_{jk})$  is different from 0, the identity element  $\Phi_0$  lies in  $\langle \mathcal{E}, \mathcal{E} \rangle_C$ . Therefore  $\langle \mathcal{E}, \mathcal{E} \rangle_C$  is dense in  $\bar{A}_\Theta \rtimes \mathbb{Z}_2$ .



Now, for  $k = 1, \dots, n-1$ , we consider the pair  $(S^3, X_k)$ , where  $S^3$  is the 3-sphere to which the point  $p_k$  has been blown up. Then the exact sequence

$$K^0(X_k - S^3) \rightarrow K^0(X_k) \rightarrow K^0(S^3) \cong \mathbb{Z}$$

gives us the inequality  $\text{rk}(K^0(X_k - S^3)) \geq \text{rk}(K^0(X_k)) - 1$ . Since  $X_{k-1}$  is the one-point compactification of  $X_k - S^3$ , we have

$$\text{rk}(K^0(X_{k-1})) \geq \text{rk}(K^0(X_k)).$$

Hence it follows that  $\text{rk}(K^0(T^4/\mathbb{Z}_2)) \geq 25$ . □

**Remark.** Let  $\Theta$  be a non-zero  $4 \times 4$  real skew-symmetric matrix. Then we can find a number  $s$  such that  $s\Theta$  satisfies the assumption of [Theorem 1](#). Hence  $K_0(\bar{A}_{s\Theta}^\sigma) = \mathbb{Z}^{24}$ , which is different from  $K_0(\bar{A}_{0,\Theta}^\sigma) = K_0(C(T^4/\mathbb{Z}_2))$ . Therefore, the flabby strict deformation quantization  $\{A_{t\Theta}^\sigma\}_{t \in \mathbb{R}}$  of the Poisson orbifold  $T^4/\mathbb{Z}_2$  gives us an example, where  $K^*(\bar{A}_{t\Theta}^\sigma)$  varies as  $t$  varies.

## Acknowledgements

This work was supported by the Brain Korea 21 Project.

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